

TFW 2142

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application No.	09/753,141
	Filing Date	December 29, 2000
	First Named Inventor	Joshua L. Coates
	Art Unit	2142
	Examiner Name	Hieu C. Le
Total Number of Pages in This Submission	Attorney Docket Number 42390P19172X	

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">-Check for \$180.00 -Return postcard -10 cited references</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	March 21, 2006

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Katherine Jennings		
Signature		Date	March 21, 2006



# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$) 180.00

## Complete if Known

Application Number	09/753,141
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Art Unit	2142
Attorney Docket No.	42390P19172X

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below

☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☒ Credit any overpayments

## FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (2) (\$)** 180.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature		Date	03/21/06		



Docket No.: 42390P19172X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JOSHUA L. COATES

Application No.: 09/753,141

Filed: December 29, 2000

For: **Methods and Apparatus for Off-Loading Content  
Servers Through Direct File Transfer From a  
Storage Center to an End-Use**

Art Group: 2142

Examiner: Hieu C. Le

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

03/27/2006 RMEBRANT 00000025 09753141

01 FC:1806

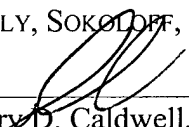
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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 21, 2006

  
\_\_\_\_\_  
Gregory D. Caldwell, Reg. No. 39,926

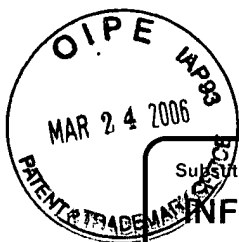
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Katherine Jennings

03-21-06

**Date**



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 4

**Complete if Known**

Application Number	09/753,141
Filing Date	December 29, 2000
First Named Inventor	Joshua L. Coates
Art Unit	2142
Examiner Name	Hieu C. Le
Attorney Docket Number	42390P19172X

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-6,360,306 B1	03-19-2002	Bergsten	
	2	US-6,718,347 B1	04-06-2004	Wilson	
	3	US-5,708,832	01-13-1998	Inniss et al	
	4	US-6,405,201 B1	06-11-2002	Nazari	
	5	US-6,128,627	10-03-2000	Mattis et al	
	6	US-5,497,422	03-05-1996	Tysen et al	
	7	US-5,506,984	04-09-1996	Miller	
	8	US-5,692,155	11-25-1997	Iskiyan et al	
	9	US-5,757,920	05-26-1998	Misra et al	
	10	US-5,805,699	09-08-1998	Akiyama et al	
	11	US-5,870,537	02-09-1999	Kern et al	
	12	US-5,978,577	11-02-1999	Rierden et al	
	13	US-6,148,349	11-14-2000	Chow et al	
	14	US-6,170,013 B1	01-02-2001	Murata	
	15	US-6,173,374 B1	01-09-2001	Heil et al	
	16	US-6,236,999 B1	05-22-2001	Jacobs et al	
	17	US-6,256,673 B1	07-03-2001	Gayman	
	18	US-6,263,402 B1	07-17-2001	Ronstroem et al	
	19	US-6,314,462 B1	06-06-2001	Paul et al	
	20	US-6,327,614 B1	12-04-2001	Asano et al	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> - Number <sup>5</sup> - Kind Code <sup>6</sup> (if known)				
	21	EP 0 646 858 A1	08-26-1994	DuLac		
	22	WO 99/45491	09-10-1999	Eberhard, et al		
	23	WO 01/67707 A2	09-13-2001	Coates, et al		

Examiner  
SignatureDate  
Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional).<sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	09/753,141
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				Examiner Name	Hieu C. Le
				Attorney Docket Number	42390P19172X
Sheet	4	of	4		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	45	Microsoft Press, Computer Dictionary 3rd Edition, 1997, 3 pages		
	46	MOGUL: Internet Subnets; Network Working Group; RFC 917; October 1984; 18 pages		
	47	Apache-SSL: Certificates, Configuration and More Information Systems and Technology ; University of Waterloo; 9-15-1998; 16 pages		
	48	SCHROEDER: Implementing Multi-Protocol Authentication/Security Systems; Grid (GSI) Secure Socket Layer (X.509 Certificates), Kerberos, DCE, and Custom Systems for Secure Distributed Data Access; 7-19-1999; 4 pages		
	49	BEA WebLogic SSL; Server 4.5; 20 pages		
	50	KLINE: Distributed File Systems for Storage Area Networks; Advanced Digital Info Corp; 11 pages		
	51	Certificate to LDAP Mapping in Netscape Servers, Rev 1.2; 8-28-1997; Structured Arts Technical Notes		

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number.<sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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